

05-31-02

1645  
#4

TRANSMITTAL LETTER			Case No. 10466/234
Serial No. 09/997,601	Filing Date November 15, 2001	Examiner To be assigned	Group Art Unit 1645
Inventor(s) Ashkenazi et al.			
Title of Invention SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME			

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an Information Disclosure Statement, Form PTO-1449; 2 cited references; Statement regarding Relevant Priority Documents and return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	1	Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

## Small Entity

Rate	Add'l Fee
x \$9=	
x 42=	
+\$140=	
Total add'l fee	\$

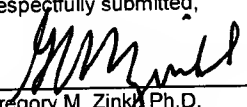
or

## Other Than Small Entity

Rate	Add'l Fee
x \$18=	
x \$84=	
+\$280=	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Gregory M. Zink, Ph.D.  
Registration No. 48,492  
Agent for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail, Express Mail Label No. EL 448312595US with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 05/30/02

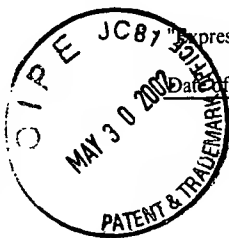
Date: 05/30/02

Signature: 

JUN 05 2002

TECH CENTER 1600/2900

RECEIVED



"Express Mail" mailing label number EL 448312595US

Date of Deposit: 65/30/02

RECEIVED

JUN 05 2002

TECH CENTER 1600/2900

Our Case No. 10466/234

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Ashkenazi et al.

Serial No. 09/997,601

Filing Date: November 15, 2001

For SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC  
ACIDS ENCODING THE SAME

)  
)  
)  
) Examiner To be assigned

)  
) Group Art Unit No. 1645  
)  
)  
)

**RELEVANT PRIORITY DOCUMENTS**

Commissioner for Patents  
Washington, D.C. 20231

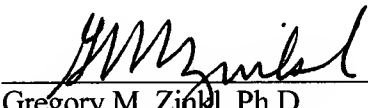
Dear Sir:

The following priority documents are relevant to the presently claimed invention:

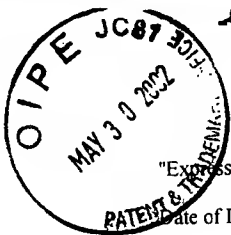
1. 60/096,146; filed 8/11/1998
2. PCT/US99/12252; filed 6/2/1999
3. 60/145,698; filed 7/26/1999
4. 09/380,137; filed 8/25/1999
5. PCT/US00/00219; filed 1/5/2000
6. PCT/US00/04914; filed 2/24/2000
7. PCT/US00/08439; filed 3/30/2000
8. 60/213,637; filed 6/23/2000
9. 60/230,978; filed 9/7/2000

10. 09/709,238; filed 11/8/2000
11. PCT/US00/32678; filed 12/1/2000
12. PCT/US01/17800; filed 6/1/2001
13. PCT/US01/19692; filed 6/20/2001
14. PCT/US01/21006; filed 6/29/2001
15. PCT/US01/21735; filed 7/9/2001
16. 09/941,992; filed 8/28/2001

Respectfully submitted,

  
\_\_\_\_\_  
Gregory M. Zinkl, Ph.D.  
Registration No. 48,492  
Agent for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200



"Express Mail" mailing label number EL 448312595US

Date of Deposit: 05/30/02

Our Case No.: 10466/234

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ashkenazi et al.

Serial No.: 09/997,601

Filing Date: November 15, 2001

For: SECRETED AND  
TRANSMEMBRANE  
POLYPEPTIDES AND NUCLEIC  
ACIDS ENCODING THE SAME

Examiner: To be assigned

Group Art Unit No.: 1645

TECH CENTER 1600/2900

JUN 05 2002

RECEIVED

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

OTHER ART

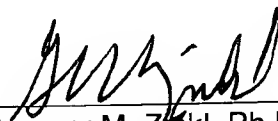
1. Blast Results A1-A44, GenBank
2. Blast Results, B1-B5, Dayhoff

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

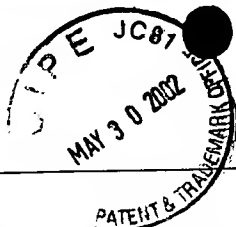
This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
\_\_\_\_\_  
Gregory M. Zinkl, Ph.D.  
Registration No. 48,492  
Agent for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-1449	SERIAL NO. 09/997,601	CASE NO. 10466/234
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE November 15, 2001	GROUP ART UNIT 1645
	APPLICANT(S): Ashkenazi et al.	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A1	Blast Results A1-A44, GenBank
	A2	Blast Results, B1-B5, Dayhoff

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.